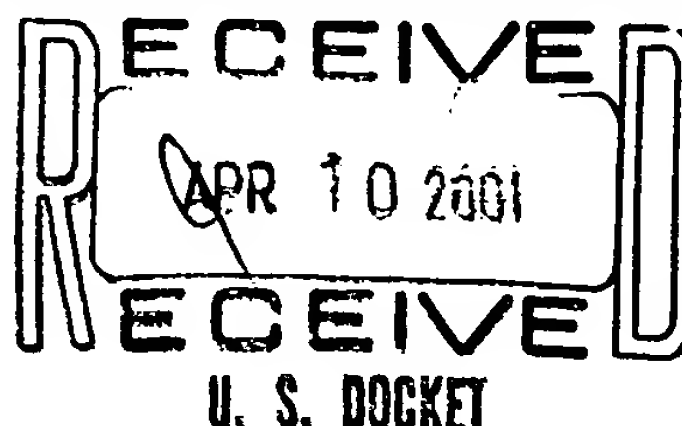


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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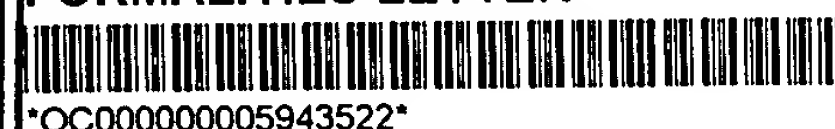
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/738,268	12/1	BRINKS HOFER GILSON & LIONE Dana Lieu	8864/23

Steven P. Shurtz
BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610



CONFIRMATION NO. 1640

FORMALITIES LETTER



OC00000005943522

Date Mailed: 04/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/06/2001 09738268 00000050 09738268

FILED UNDER 37 CFR 1.53(b)

01/06/2001
09738268
00000050
09738268

355.00 OP
342.00 OP
200.00 OP
65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

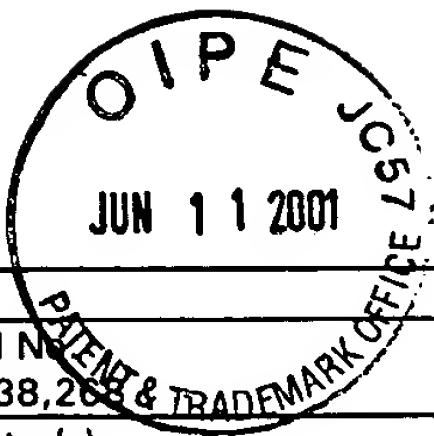
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1066.
 - \$666 for 37 total claims over 20.
 - \$400 for 5 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1906.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



sector\$

TRANSMITTAL LETTER			Case No. 8864/23
Serial No. 09/738,268 & TRADEMARK OFFICE	Filing Date December 15, 2000	Examiner Unassigned	Group Art Unit 2834
Inventor(s) Lieu, et al.			
Title of Invention SPINDLE MOTOR WITH ENCAPSULATED STATOR AND METHOD OF MAKING SAME			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Response to Notice to File Missing Parts; Return copy of Notice to File Missing Parts; Preliminary Amendment; Declaration; Power of Attorney; Assignment Recordation and Assignment; Credit card authorizations for \$962 and \$40; Postcard receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☒ Applicant is entitled to small entity status under 37 CFR §§ 1.9 and 1.27.
- ☐ Petition for a _____ month extension of time.
- ☐ No additional fee is required.
- ☒ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	58	Minus	20	38
Indep.	8	Minus	3	5
Basic Filing Fee				
Surcharge				

Small Entity	
Rate	Add'l Fee
x \$9 =	342
x 40 =	200
+ \$355 =	355
\$ 65	65
Total add'l fee	\$962

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$80 =	
+ \$710 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☒ Credit card authorizations in the amount of \$962 to cover the filing fee and \$40 for Assignment are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

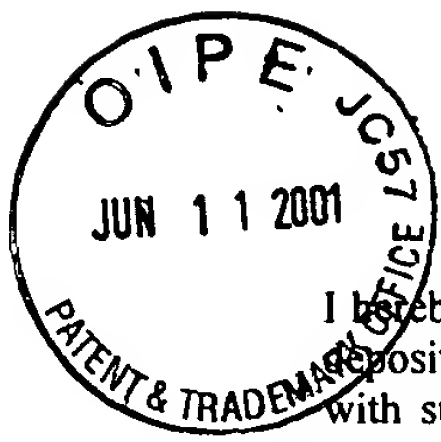
Respectfully submitted,

Steven P. Shurtz
Steven P. Shurtz
Registration No. 31,424
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on June 6, 2001.

Date: June 6, 2001 Signature: Steven P. Shurtz



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on June 6, 2001

Date of Deposit

Steven P. Shurtz, Reg. No. 31,424

Name of applicant, assignee or
Registered Representative

Steven P. Shurtz

Signature

June 6, 2001

Date of Signature

Case No. 8864/23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LIEU, ET AL.

Serial No.: 09/738,268

Filed: December 15, 2000

For: SPINDLE MOTOR WITH
ENCAPSULATED
STATOR AND METHOD
OF MAKING SAME

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

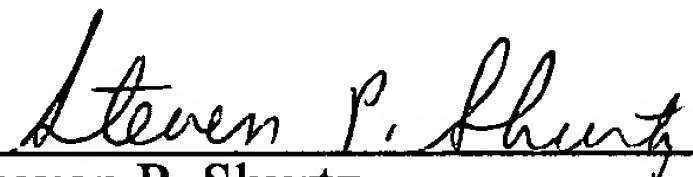
Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated April 6, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.
- ☒ Applicant is:
- ☒ a small entity
- ☐ other than small entity
- ☒ Credit card authorization totaling \$962 for:
- ☒ Filing Fee of \$355.
- ☒ Surcharge of \$65.
- ☒ Additional Claim Fees of \$542.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Preliminary Amendment, Assignment Recordation, Assignment and fee in the amount of \$40.00.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: April 6, 2001



Steven P. Shurtz
Registration No. 31,424
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200